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## BEFORE THE ARIZONA CORPORATION COMMISSION

**COMMISSIONERS** 

GARY PIERCE - Chairman BOB STUMP SANDRA D. KENNEDY PAUL NEWMAN 2011 FEB 16 P 3: 42

AZ CURP COMMISSION

AZ CURP CONTROL

Arizona Corporation Commission

DOCKETED

FEB 1 6 2011



IN THE MATTER OF:

**BRENDA BURNS** 

ROB THOMAS HITCHCOCK (CRD #2946739), individually and doing business as Pillar Investment Services, a dissolved Arizona corporation, and SHELLY HITCHCOCK, husband and wife,

Respondents.

PROCEDURAL ORDER

(Schedules a Status Conference)

DOCKET NO. S-20771A-10-0487

## BY THE COMMISSION:

On December 8, 2010, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Rob Thomas Hitchcock (CRD #2946739), individually and doing business as Pillar Investment Services ("Pillar"), a dissolved Arizona corporation, and Shelly Hitchcock, husband and wife (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") and the Arizona Investment Management Act ("IM Act") in connection with the offer and sale of securities in the form of notes. Respondent Rob Hitchcock's spouse, Shelly Hitchcock, was joined in the action for purposes of determining the liability of the marital community.

The Respondents were duly served with a copy of the Notice.

On December 27, 2010, Respondent, Rob Hitchcock, filed a request for hearing in this matter.

On January 4, 2011, by Procedural Order, a pre-hearing conference was scheduled on January 20, 2011.

On January 18, 2011, Respondent, Rob Hitchcock, filed a Motion for a Continuance to allow him time to obtain counsel in the proceeding. The Division was contacted and did not object to a brief continuance.

On January 19, 2011, by Procedural Order, the pre-hearing conference was continued to February 16, 2011.

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On February 16, 2011, the Division and Respondents appeared through counsel. The parties are discussing a proposed Consent Order and agreed that a status conference should be scheduled in approximately 45 days.

Accordingly, the status conference should be scheduled.

IT IS THEREFORE ORDERED that a status conference shall be held on March 28, 2011, at 11:00 a.m., at the Commission's offices, 1200 West Washington Street, Hearing Room 1, Phoenix, Arizona.

IT IS FURTHER ORDERED that if the case is resolved by a proposed Consent Order prior to the status conference, the Division shall file a Motion to Vacate the proceeding.

IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized Communications) is in effect and shall remain in effect until the Commission's Decision in this matter is final and non-appealable.

IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission *pro hac vice*.

IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the Rules of the Arizona Supreme Court). Representation before the Commission includes appearances at all hearings and procedural conferences, as well as all Open Meetings for which the matter is scheduled for discussion, unless counsel has previously been granted permission to withdraw by the Administrative Law Judge or the Commission.

IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter, amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by ruling at hearing.

DATED this \_\_\_\_\_ day of February, 2011.

MARC L. STERN ADMINISTRATIVE LAW JUDGE

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1	Copies of the foregoing mailed/delivered this day of February, 2011 to:
2	
3	James P. Kneller LAW OFFICE OF JAMES KNELLER PC
4	6900 East Camelback Road, Suite 250 Scottsdale, AZ 85251-4501
5	Attorney for Respondents
6	Matt Neubert, Director Securities Division
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8	Phoenix, AZ 85007
9	ARIZONA REPORTING SERVICE, INC. 2200 North Central Avenue, Suite 502
10	Phoenix, AZ 85004-1481
11	By: Debra Broyles
12	Secretary to Marc E. Stern
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